



Attorney Docket No.: 3660P005

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of: )  
)  
Norman Adams, et al. )  
)  
Application No.: 09/429,758 )  
)  
Filed: October 28, 1999 )  
)  
For: OPERATING RESOURCE )  
MANAGEMENT SYSTEM )  
\_\_\_\_\_ )

Examiner: Zurita, James H.

Art Unit: 3625

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

REQUEST FOR EXAMINER INITIALS

Sir:

Applicants request that the Examiner initial the cited documents on Form PTO-1449 submitted with an Information Disclosure Statement filed March 29, 2000 in the present application and return a photocopy of that initialed Form PTO-1449 to applicants. Applicants request the Examiner's initials in order to show consideration of the cited references.

EXPRESS MAIL STATEMENT

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Christopher P. Marshall

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*C.P. Marshall*

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8/4/2005

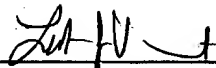
(Date Signed)

A photocopy of the previously-submitted Form PTO-1449 is included herewith without copies of the previously submitted references.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: August 7, 2005

  
\_\_\_\_\_  
Lester J. Vincent  
Reg. No. 31,460

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FORM PTO-1449 (Modified)  
(Rev. 7/80)U.S. Dept. of Commerce  
Patent and Trademark OfficeAtty Docket No.:  
ARIB-P0110 USAAppln. No.:  
09/429,758

## INFORMATION DISCLOSURE CITATION

Applicant(s):  
ADAMS ET AL.Filing Date:  
10/28/1999Group:  
2771

AUG 04 2005

## U.S. PATENT DOCUMENTS

**Examiner Initials	* Document Number	Date	Name	Class	Subclass	Filing Date
	AA 3,899,775	8/1975	Larsen	340	172.5	
	AB 4,774,663	9/1988	Musmanno et al.	364	408	
	AC 4,796,292	1/1989	Thomas	379	91	
	AD 4,799,156	1/1989	Shavit et al.	364	401	
	AE 4,812,994	3/1989	Taylor et al.	705	400	
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	AG 4,947,028	8/1990	Gorog	235	381	
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	AI 4,984,155	1/1991	Geier et al.	364	401	
	AJ 4,992,940	2/1991	Dworkin	364	401	
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	AL 5,021,953	6/1991	Webber et al.	364	407	
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	AO 5,270,922	12/1993	Higgins	364	408	
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	AQ 5,355,474	10/1994	Thuraiingham et al.	395	600	
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	BD 5,666,493	9/1997	Wojcik et al.	705	26	
	BE 5,694,551	12/1997	Doyle et al.	705	26	
	BF 5,721,832	2/1998	Westrope et al.	705	27	
	BG 5,758,327	5/1998	Gardner et al.	705	26	
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	BK 5,970,475	10/1999	Barnes et al.	705	27	





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Examiner: Zurita, James H.

Art Unit: 3625

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

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**Christopher R. Marshall**

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

(Date Signed)

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

XXX 37 C.F.R. §1.97(b).

\_\_\_\_\_ 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

\_\_\_\_\_ A statement pursuant to 37 C.F.R. §1.97(e) or

\_\_\_\_\_ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

\_\_\_\_\_ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

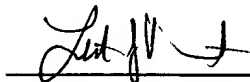
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Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date:

August 4, 2005



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Substitute for Form 1449/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	09/429,758
				Filing Date	October 28, 1999
				First Named Inventor:	Norman Adams
				Art Unit	3625
				Examiner Name	Zurita, James H.
Sheet	AUG 04 2005	of	3	Attorney Docket Number	3660P005
<b>U.S. PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages <sup>6</sup> or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 5,189,608	2/23/1993	Lyons et al.	
		US- 5,594,791	1/14/1997	Szlam et al.	
		US- 5,596,744	1/21/1997	Dao et al.	
		US- 5,615,123	3/25/1997	Davidson et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS.

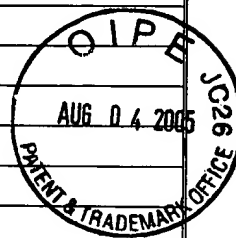
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<b>Substitute for Form 1449/PTO</b> <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/429,758
				Filing Date	October 28, 1999
				First Named Inventor:	Norman Adams
				Art Unit	3625
				Examiner Name	Zurita, James H.
Sheet	2	of	3	Attorney Docket Number	3660P005

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		PCT Notification of Transmittal of International Search Report for PCT Counterpart Application No. PCT/US98/08407 Containing International Search Report, 7 pgs. (September 4, 1998)	
		Patent Cooperation Treaty's Written Opinion for International application No. PCT/US98/08407, dated August 23, 1999, 7 pgs.	
		PCT Notification of Transmittal of International Preliminary Examination Report for PCT Counterpart Application No. PCT/US98/08407 Containing International Preliminary Examination Report, 8 pgs. (November 18, 1999)	
		Patent Abstract of Japan, Volume 12, No. 401, Pub. No. 63141176, Pub. Date June 13, 1988.	
		Patent Abstract of Japan, Volume 096, No. 003, Pub. No. 07295901, Pub. Date November 10, 1995.	
		"Electronic Document," IBM Technical Disclosure Bulletin, XP000441554, Vol. 37, No. 3, pp. 489-490 (March 1994)	
		Judith Bayard Cushing, "Computational Proxies: An Object-based Infrastructure for Computational Science," UMI Dissertation Services, www.umi.com, pp. ii-250 (April 1995)	
		David Linthicum, "EOF - a Next Step for C/S: How to Build C/S Apps for Nextstep Using Enterprise Object Framework, the Newest Weapon in Nextstep's Development Arsenal," DBMS (Database & Client/Server Solutions), Vol. 8, No. 4, pp. 26, 28, and 102 (April 1995)	
		"Soft Notes: What's Next?," DBMS (Database & Client/Server Solutions), Vol. 8, No. 1, pp. 86 (January 1995)	
		Shell Haffner, "Multimedia and the X Terminal," Internetwork, Vol. 5, No. 9 pp. S8 (September 1994)	
		John P. Burke, "Product Watch: Universal Translator," HP Professional, Vol. 7, No. 9, pp. 12 (September 1993)	



Examiner Signature		Date Considered	
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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

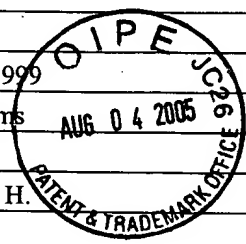
<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English Translation is attached.

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				Examiner Name	Zurita, James H.
Sheet	3	of	3	Attorney Docket Number	3660P005
<b>NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T <sup>2</sup>
		Alan Radding, "Five Types of Tools Get Data from Legacy Systems," Software Magazine, January Special Edition, Vol. 13, No.2, pp. 31-34, 40-41 (January 1993)			
		"Working Up to a Warehouse," Communications Week (The Networking Newspaper), www.commweek.com (January 20, 1997)			



Examiner Signature		Date Considered	
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